# UnitedHealth Premium® Attribution methods

Use this document with the UnitedHealth Premium<sup>®</sup> methodology documents at **unitedhealthpremium.uhc.com**. Please review all methodology documents to understand the entire Premium methodology.

### **Overview**

Attribution methods based on health plan claims data determine which physicians are responsible for patient care. The following attribution methods are used for each measure type included in Premium.

Measure type	Attribution method
Patient safety	Patient Prescribing
Guideline concordance: Acute care	Rendering
Guideline concordance: Chronic disease	Patient
Guideline concordance: Low-value care	Rendering Ordering
Guideline concordance: Pregnancy management	Patient Rendering
Guideline concordance: Preventive care	Patient
Guideline concordance: Surgical care	Rendering
Outcomes	Rendering
Patient annual cost	Patient
Patient episode cost	Cost Rendering

#### **Patient attribution**

Patient attribution is used for patient safety, guideline concordance and outcome measures, and for the patient annual cost measure. Patient attribution is based on a hierarchy of services to select the most probable physician(s) responsible for a patient's care within each eligible specialty category.

<sup>1</sup> Patients are attributed to OB-GYNs only if they are female and at least age 13



Specialty categories are primary care (family medicine, internal medicine and pediatrics), obstetrics and gynecology<sup>1</sup>, allergy, cardiology, ear nose and throat, endocrinology, gastroenterology, nephrology, neurology, pulmonology, rheumatology and urology.

Patients are attributed for 2 separate time periods: calendar year 2022 and calendar year 2023.

Patients are first attributed to a medical group for each specialty category (Tax Identification Number (TIN) and Premium specialty). Patients are then attributed to an affiliated physician within the attributed medical group. Attributing patients in this manner accounts for coordination of care across physicians in the same practice.

For the 2023 period, patients are first attributed to primary care and obstetrics and gynecology<sup>1</sup> based on claims from calendar year 2023. If no qualifying services are found, claims from calendar year 2022 are used. Likewise, for the 2022 period, patients are first attributed to primary care, obstetrics and gynecology based on claims from calendar year 2022. If no qualifying services are found, claims from calendar year 2021 are used. For specialists<sup>2</sup>, patients are attributed to physicians based only on claims data from the attribution period.

Step	Family medicine	Internal medicine and pediatrics	Obstetrics and gynecology <sup>1</sup>	Specialists <sup>2</sup>
1	<b>Physical exam or</b> <b>preventive service</b> Largest number of visits Tiebreaker = highest normalized cost	<b>Physical exam or</b> <b>preventive service</b> Largest number of visits Tiebreaker = highest normalized cost	<b>Obstetric visits</b> Largest number of visits/services Tiebreaker = highest normalized cost	Evaluation and Management Largest combined number of: • Ambulatory visits AND • Supervision services Tiebreaker = highest normalized cost
2	<b>Obstetric visits</b> Largest number of visits/services Tiebreaker = highest normalized cost		Evaluation and Management Largest combined number of: • Ambulatory visits AND • Supervision services Tiebreaker = highest normalized cost	

<sup>1</sup> Patients are attributed to OB-GYNs only if they are female and at least age 13.

<sup>2</sup> Specialists include allergy, cardiology, ear, nose and throat, endocrinology, gastroenterology, nephrology, neurology, pulmonology, rheumatology and urology.



#### Patient safety, guideline concordance and outcome measure attribution

Measures are attributed to the physician(s) with significant involvement in patient care. The determination of significant involvement varies by the physician's specialty and the measure type. Measures are also attributed to the group(s) that billed the service(s) on which the physician attribution was based.

The following table shows the attribution method(s) applicable to each measure type and whether the method limits attribution to a single physician (and group) or allows attribution to multiple physicians and groups. Multiple attribution applies when more than 1 physician has significant involvement in patient care. This aligns with patient-centered health care models as physicians are expected to coordinate patient care.

Measure type	Attribution method	Single or multiple attribution	
	<b>Patient</b> : The patient's 2023 attributed physician for applicable specialties		
Patient safety	<b>Prescribing</b> : The physician(s) who prescribed the medication(s)	Multiple	
Guideline concordance: Acute care	<b>J</b>		
Guideline concordance: Chronic disease	<b>Patient:</b> The patient's 2023 attributed physician for applicable specialties	Multiple	
Guideline concordance: Low-value care	<b>Rendering:</b> The physician who saw the patient for the condition when only 1 physician was involved in the care or the physician who performed the primary procedure associated with the measure	Single	
	Ordering: The physician who ordered the test		
Cuideline concordence.	<b>Patient:</b> The patient's 2023 attributed OB-GYN if they had 1 or more pregnancy-related visits or delivery	Single	
Guideline concordance: Pregnancy management	<b>Rendering:</b> The physicians, other than the attributed OB-GYN, with 2 or more pregnancy-related visits with at least 1 during the first 2 trimesters	Multiple	
Guideline concordance: Preventive care	<b>Patient:</b> The patient's 2023 attributed physician for applicable specialties	Multiple	
Guideline concordance: Surgical care	<b>Rendering:</b> The physician who performed the primary procedure associated with the measure	Single	
<b>Outcomes</b> Rendering: The physician who saw the patient for the condition when only 1 physician was involved in the care or the physician who performed the primary procedure associated with the measure		Single	



## Patient annual cost and patient episode cost measure attribution

#### **Patient annual cost**

Patient annual cost uses the patient attribution results. Patients are attributed for each of the 2 separate time periods used for evaluation: calendar year 2023 and calendar year 2022. A single patient may be attributed to the same medical group and physician for both time periods. Premium includes attributed patient annual cost measures only when 50% or more of the costs occurred on or after the first contact with the attributed physician or the physician's affiliated TIN with the same Premium specialty.

#### Patient episode cost

Episodes are first attributed to physicians with significant involvement in patient care. Episodes are then attributed to the group that billed the service(s) the physician attribution was based on. The determination of significant involvement varies by the type of episode. The following table shows the attribution method applicable to each episode type. Each episode is only attributed to 1 physician.

Episode type	Attribution method	Single or multiple attribution
Condition	<b>Cost</b> : The physician who was responsible for generating the highest percentage of services, based on cost, in the episode. To make sure there was significant involvement, the attributed physician must be responsible for at least 50% of the total cost of the episode.	Single
Procedure	<b>Rendering</b> : The physician who performed the primary procedure. Performing the primary procedure constitutes significant involvement and therefore no cost percentage threshold is applied.	Single



#### Important notes about UnitedHealth Premium

The information from UnitedHealth Premium is not an endorsement of a particular physician or health care professional's suitability for the health care needs of any particular member. UnitedHealthcare does not practice medicine nor provide health care services. Physicians are solely responsible for medical judgments and treatments supplied. A Premium Care Physician designation does not guarantee the quality of health care services members will receive from a physician and does not guarantee the outcome of any health care services members will receive.

The fact that a physician doesn't have a Premium Care Physician designation doesn't mean the physician doesn't provide quality health care services. All physicians in the UnitedHealthcare Network have met certain minimum credentialing requirements. Regardless of whether a physician has received a Premium Care Physician designation, members have access to all physicians in the UnitedHealthcare Network as described under the member's benefit plan.

There are various reasons why a physician may not be designated as a Premium Care Physician. A physician may not receive a Premium Care designation because that physician has not been evaluated for a Premium Care designation. This occurs when a physician does not practice in a specialty that is evaluated by Premium, or when a physician's evaluation is in process. It also occurs when a physician does not have enough health plan claims data to be evaluated, but it is not an indicator of the total number of patients treated by the physician or the number of procedures performed by the physician. Rather, it reflects the statistical requirements of Premium, which includes only health plan claims associated with specific Premium measures and relevant to the physician's specialty. In some cases, there may not be enough data to complete the analytic process from a statistical standpoint.

UnitedHealthcare informs members that designations are intended only as a guide when choosing a physician and should not be the sole factor in selecting a physician. As with all programs that evaluate performance based on analysis of a sample, there is a risk of error. There is a risk of error in the claims data used in the evaluation, the calculations used in the evaluation, and the way Premium determined that an individual physician was responsible for the treatment of the patient's condition. Physicians have the opportunity to review this data and submit a reconsideration request.

UnitedHealthcare uses statistical testing to compare a physician's results to expected or normative results. There is a risk of error in statistical tests when applied to the data and a result based on statistical testing is not a guarantee of correct inference or classification. We inform members that it is important that they consider many factors and information when selecting a physician. We also inform our members that they may wish to discuss designations with a physician before choosing them, or confer with their current physician for advice on selecting other physicians.

The information contained in this document is subject to change.

#### Learn more

#### **UnitedHealth Premium I unitedhealthpremium.uhc.com** 9700 Health Care Lane, MN017-W700, Minnetonka, MN 55343

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